

Sanctuary Wealth Management's Business Continuity Plan Disclosure Statement

Sanctuary Wealth Management has developed a Business Continuity Plan (BCP) for how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our Business Continuity Plan.

Contacting Us – If after a Significant Business Disruption (SBD), you cannot contact us by phone at (208) 233-0080, by fax at (208) 233-0088, or by email at krista@sanctuarywealth.net, you should try to contact us through our website at <https://sanctuarywealth.net>. If you cannot access us through any of those means, you should contact our clearing firm(s): TD Ameritrade, (866) 381-7360 or <https://www.tdameritrade.com>, Charles Schwab & Co., (888) 245-6864 or <https://www.schwab.com>, or American Funds Distributors, (800) 421-0180 or <https://www.americanfunds.com/> for instructions on how in the situation of an SBD they may be able to provide prompt access to funds and securities, enter orders and process other trade-related, cash and security transfer transactions for you.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after an SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers' investment transactions to continue. In short, our BCP is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the SBD.

Our Business Continuity Plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical suppliers, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our clearing firms, TD Ameritrade, Charles Schwab & Co., and American Funds Distributors back up our important records in a geographically separate area. While every emergency poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firms that their objectives are to restore their own operations and be able to complete existing transactions and accept new transactions and payments immediately or within minutes. Your orders and requests for funds and securities may be delayed during this period.

Varying Disruptions – SBDs can vary in their scope, such as only affecting our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or the building housing our firm, we will transfer our operations to a local site as needed and expect to recover and resume business within 24 hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business within 48 hours, depending on how far reaching the affected area is. In either situation, we plan to continue business, transfer operations to our clearing firm as necessary, and notify clients how to contact us through telephone, email, or our website, <https://sanctuarywealth.net>. If the SBD is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

For more information – If you would like to view some of our custodians' Business Continuity Plan Statements, or if you have questions about our business continuity planning, you can contact us at (208) 233-0800 or krista@sanctuarywealth.net.